

Regulatory Update

Ensure your PAN is linked to your Aadhaar card by March 31, 2023,

Methods to Link PAN with Aadhaar:

- **Method 1 - By visiting the Income Tax e-Filing website (Suggested)**
 - Click [here](#) to be taken directly to the relevant page on the Income Tax e-Filing website
 - Once there, follow the listed steps to link your PAN and Aadhaar in minutes
- **Method 2 - Via SMS**
 - Send an SMS to 567678 or 56161 from your Aadhaar-registered mobile number in the following format:
UIDPAN <12-digit Aadhaar Number> <10-digit PAN>
E.g: UIDPAN 123456789012 ABCDE1234P
- **Method 3 - By physically filling a form**
 - You can also visit a designated PAN Service Centre and manually fill the specified form.

You are requested to link your PAN with your Aadhaar by March 31, 2023, to avoid hassles in the future.

Note: An inoperative PAN Card will become operative once linked with an Aadhaar Card.

Spark PWM Private Limited

(Formerly Spark Family Office and Investment Advisors (India) Private Limited)

AMFI-Registered Mutual Fund Distributor (AMFI: ARN 86685)

SEBI Registrations (Stock Broker: INZ000285135; Portfolio Manager: INP200007274;
Research Analyst: INH200008954; DP: IN-DP-757-2023); CIN: U93000TN2012PTC086696

Compliance Officer and Grievance Officer: Mr. Harsh Shah

Email: pwm.compliance@sparkcapital.in | Tel No.: 022 6291 6700

Registered Office: No. 1, 3rd Floor, First Crescent Park Road, Gandhi Nagar, Adyar, Chennai – 600020

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Link of Educational videos

https://www.bseipf.com/investors_education.html

<https://www.nseindia.com/invest/investors-home>

Sr No	Category	Topic with Link
1	Father & Son Video Ads	Matching Investments to Your Risk Profile (youtube.com)
2		Trade smart, trade safe: Always verify all communication from the exchange! (youtube.com)
3		Invest Safely: Beware of Online Influencers while investing in Capital Markets (youtube.com)
4		Learn about the safeguard measures provided by NSE through its Investor Protection Fund (IPF) (youtube.com)
5		Investing in IPO's is like picking your team for a game! (youtube.com)
6	Podcasts	Ashishkumar Chauhan (MD & CEO, NSE) Best way to learn about Securities Market/ Personal Finance (youtube.com)
7		Master your money : Asset Allocation by Nilesh Shah - MD of Kotak Mahindra Mutual Fund (youtube.com)
8		Swarup Mohanty (CEO & Director, Mirae Asset Investment Managers) F.I.R.E (youtube.com)
9		Aashish Somaiyya (ED & CEO, WhiteOak MF) Financial podcast for Beginners, Millennials and Gen Z (youtube.com)
10		Unbiased financial education by Nimesh Shah (MD & CEO, ICICI Prudential AMC) (youtube.com)
11		Building a resilient investment portfolio by Navneet Munot – MD & CEO, HDFC MF and Chairman AMFI (youtube.com)
12		Power of Impact Investing by Amit Chandra – Chairperson and Founder of Bain Capital's India Office (youtube.com)
13		Long Term Financial Plan by A Balasubramanian - MD & CEO of Aditya Birla Sunlife Mutual Fund (youtube.com)
14		Adapting to Economic downturns & recessions by Chairman of Motilal Oswal Financial Services (youtube.com)
15		Tax Planning for Individuals by Deepashree Shetty Partner, Tax & Regulatory Services, BDO India (youtube.com)
16		Retirement Planning for Individuals by Rahul Jain President & Head, Nuvama Wealth (youtube.com)
17		Investing in REITs by Ritwik Bhattacharjee Chief Investment Officer, Embassy Office Parks REIT (youtube.com)

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18		Healthy Money Habits by DP Singh Deputy Managing Director, SBI Mutual Fund (youtube.com)
19		Best Practises for Long-Term Investing by S Naren – ED & CIO, ICICI Prudential Mutual Fund (youtube.com)
20		An aware investor is a good investor by Ashwani Bhatia – WTM, SEBI (youtube.com)
21	Videos with Shraddha Jain	Money Minded Malini - Ep. 1: Unsolicited Stock Tips (youtube.com)
22		Money Minded Malini - Ep. 2: Do not share your Trading Password! (youtube.com)
23		MoneyMindedMalini Episode #3 - take informed decision before trading in derivatives (youtube.com)
24	Videos with money control	Investor Awareness Message on Importance of updated KYC Details (youtube.com)
25		Investor Awareness Message on Assured Returns - YouTube
26		Investor Awareness Message on Unsolicited Stock Tips - YouTube
27		Guidelines to Invest Safely in Stock Market (youtube.com)
28		Things to check before investing in IPO (youtube.com)
29		Importance of Due Diligence in Trading (youtube.com)
30		NSE Explainer: Green Bond (youtube.com)
31	NSE animated videos	A Guide to Risk Assessment for Investors (youtube.com)
32		Always keep track of any communication from the Exchange! (youtube.com)
33		Unlocking Investor Support: NSE Investor Service Centres (ISCs) Explained (youtube.com)
34		Mastering Investment Decision-Making: Validating Rumors & Media Influence (youtube.com)
35		Stocks under Surveillance Measures: ASM, ESM, GSM (youtube.com)
36		NSE Trading supported by Blocked amount in secondary market (youtube.com)

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1. *Be an Investor, Not a Follower -*

https://www.youtube.com/watch?v=l70i3gYB2gQ&list=PLHSYdAV_5Uq8YFcX2OCS6kiuCLcTNOifs&index=1

2. *Investing is a marathon, not a sprint race -*

https://www.youtube.com/watch?v=Jy5MOwUFL3Y&list=PLHSYdAV_5Uq8YFcX2OCS6kiuCLcTNOifs&index=2

3. *Investment is about compounding, not doubling -*

https://www.youtube.com/watch?v=O4Q3tQ882IQ&list=PLHSYdAV_5Uq8YFcX2OCS6kiuCLcTNOifs&index=3

4. *Hollow Investments will give hollow returns -*

https://www.youtube.com/watch?v=udhZSubsRjg&list=PLHSYdAV_5Uq8YFcX2OCS6kiuCLcTNOifs&index=4

5. *Invest only in regulated products -* https://www.youtube.com/watch?v=cDkIU-QGSVc&list=PLHSYdAV_5Uq8YFcX2OCS6kiuCLcTNOifs&index=5

6. *Always update your contact details with your Broker & DP -*

https://www.youtube.com/watch?v=oHj_PQ11T-M&list=PLHSYdAV_5Uq8YFcX2OCS6kiuCLcTNOifs&index=6

7. *Contract note is as good as your invoice - Very important -*

https://www.youtube.com/watch?v=vvut32oFjXs&list=PLHSYdAV_5Uq8YFcX2OCS6kiuCLcTNOifs&index=7

8. *Online Dispute Resolution - ODR -*
https://www.youtube.com/watch?v=M1_mjkwX0hk&list=PLHSYdAV_5Uq8YFcX2OCS6kiuCLcTNOifs&index=8

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