

Investor Complaints Data – Stockbroker

Data for the month ending November 2023-

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending formore than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Stock Exchanges	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	<b>Grand Total</b>	0	0	0	0	0		0

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	April 2023	0	0	0	0
2	May 2023	0	0	0	0
3	June 2023	0	0	0	0
4	July 2023	0	0	0	0
5	August 2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved During the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0

**Investor Complaints Data – Research Analyst (RA)**

**Data for the month ending November 2023-**

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1	2	3	4	5	6	7	8
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2023	0	0	0	0
2	May 2023	0	0	0	0
3	June 2023	0	0	0	0
4	July 2023	0	0	0	0
5	August 2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0

**Trend of annual disposal of complaints**

S N	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	NA	NA	NA	NA

2	2020-21	NA	NA	NA	NA
3	2021-22	NA	NA	NA	NA
4	2022-23	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Investor Complaints Data – Depository Participant**

**Data for the month ending November 2023-**

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending formore than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Stock Exchanges	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	<b>Grand Total</b>	0	0	0	0	0		0

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	October 2023	0	0	0	0
2	November 2023	0	0	0	0

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved During the year	Pending at the end of the year
1	2022-23	0	0	0	0

Investor Complaints Data – Portfolio Managers

Data for month ending November 2023-

Sr. No.	Received from	Pending at the end of last month	Received	Resolved	Total Pending	Pending complaint > 3 months	Average Resolution time^ (in days)
1	2	3	4	5	6	7	8
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2023	0	0	0	0
2	May 2023	0	0	0	0
3	June 2023	0	0	0	0
4	July 2023	0	0	0	0
5	August 2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0

Trend of annual disposal of complaints

S N	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-19	NA	NA	NA	NA

2	2019-20	NA	NA	NA	NA
3	2020-21	NA	NA	NA	NA
4	2021-22	NA	NA	NA	NA
5	2022-23	0	0	0	0